#### **PATENTS**

#### IN THE UNITED STATES PATENT AND TRADMARK OFFICE

Applicant: Schrimpf, et al.

Serial No.: (not yet assigned)

Filed: March 26, 2004

For: DIAZABICYCLIC CENTRAL NERVOUS SYSTEM ACTIVE AGENTS

Examiner: (not yet assigned)

Group Art Unit: (not yet assigned)

Case No.: 6696USD1

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### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. Applicants respectfully petition and request that the Examiner consider the listed documents and evidence such consideration by making appropriate notations on the attached form.

Applicants submit herewith the Form PTO-1449 listing the references cited in this Information Disclosure Statement. The listed references are available for the Examiner to review in the file of the U.S. Serial No. 09/833,914. If the Examiner needs further copies of any cited references, the Examiner is respectfully requested to contact the undersigned attorney.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

The Commissioner is authorized to charge our Deposit Account any additional fees (or credit any over payments) that may be required under 37 C.F.R. §§ 1.16 and 1.17 in association with this communication for which full payment has not been tendered.

ABBOTT LABORATORIES

Customer Number 23492 Telephone: (847) 937-8272 Facsimile: (847) 938-2623 Respectfully submitted, Schrimpf, et al.

Portia Chen

Registration No. 44,075 Attorney for Applicants

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# Form PTO - 1449 (Modified)

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6696USD1	SERIAL NO. (not yet assigned)	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT(S) M. R. Schrimpf, et al.		
(37 CFR 1.98 (b))	(Use several sheets if necessary)	March 26, 2004	(not yet assigned)	

# U.S.PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	FILING DATE
	Al	5,071,999	12/10/1991	Schenke et al.	`		
	A2	5,382,584	01/17/1995	Balasubramanian			
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	A5	5,773,912	06/30/1998	Saeki et al.			
****	A6	5,914,328	06/22/1999	Lin et al.		<del></del>	
	A7	5,948,793	09/07/1999	Abreo et al.			

# FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLIC- ATION	COUNTRY OR		SUB	TRANS- LATION	
			DATE	PATENT OFFICE	CLASS	CLASS	YES	NO
-	Bl	0 324 543	19.07.1989	EP				
	B2	0 345 808	11.01.1995	EP		<u> </u>		
	B3	0 400 661	05.12.1990	EP			<u> </u>	
<del></del>	B4	0 603 887	26.09.1994	EP				
	B5	00/34284	15.06.2000	wo				
	B6	00/44755	03.08.2000	wo		<u> </u>		<u> </u>
	В7	00/66586	09.11.2000	wo		<u> </u>		
	B8	00/71534	30.11.2000	wo				
	В9	01/44243	21.06.2001	wo				
	B10	94/08922	28.04.1994	wo				<del>                                     </del>
<del></del>	BII	96/06093	29.02.1996	wo				
	B12	97/05139	13.02.1997	wo				
	B13	99/21834	06.05.1999	wo				-
	B14	99/32117	01.07.1999	wo	· .			
	B15	99/51602	14.10.1999	wo				

 B16	95/23152	31.08.95	wo		
 B17	96/31475	10.10.96	WO		
 B18	97/17961	22.05.97	WO		

# OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	CI	Arneric et al., "Cholingeric channel modulators as a novel therapeutic strategy for
		alzheimer's disease," Exp. Opin. Invest. Drugs 5(1):79-100 (1996)  Arneric, et al., "Neuronal Nicotinic Acetylcholine Receptors," Psychopharmacology: The
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EXAMINER	DATÉ CONSIDERED
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